1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23

25

BEFORE THE BOARD OF MEDICAL EXAMINERS

IN THE STATE OF ARIZONA

In the Matter of

MICHAEL CARLTON, M.D.

Holder of License No. **21360** For the Practice of Medicine In the State of Arizona.

Board Case No. MD-01-0242

FINDINGS OF FACT, CONCLUSIONS OF LAW AND ORDER

(Letter of Reprimand and Probation)

This matter was considered by the Arizona Board of Medical Examiners ("Board") at its public meeting on December 6, 2001. Michael Carlton, M.D., ("Respondent") appeared before the Board without legal counsel for a formal interview pursuant to the authority vested in the Board by A.R.S. § 32-1451(I). After due consideration of the facts and law applicable to this matter, the Board voted to issue the following findings of fact, conclusions of law and order.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of License No. 21360 for the practice of medicine in the State of Arizona.
- 3. The Board initiated case number MD-01-0242 after being informed by the federal Drug Enforcement Agency ("DEA") of concerns that Respondent was prescribing excessive and/or questionable amounts of controlled substances to a particular patient.
- 4. A Board investigator accompanied a DEA agent during an interview of Respondent where it was revealed that Respondent was prescribing excessive amounts of controlled substances to a 44 year old male patient ("Patient"). The Patient profile

("Profile") obtained from a local pharmacy revealed excessive prescribing. Specifically, the Profile revealed that during the month of August 2000 Respondent prescribed 1,000 doses of hydrocodone with acetaminophen for Patient.

- 5. The medical records revealed that Patient suffered from hepatitis C. In response to a query from the Board, Respondent stated that prescribing 1,000 doses of hydrocodone with acetaminophen was not prudent.
- 6. The Profile also revealed that in November of 2000 Patient received 1500 doses of hydrocodone with acetaminophen. Patient was then receiving approximately 16 grams of acetaminophen per day. In response to a query from the Board, Respondent stated that this amount of acetaminophen being prescribed to Patient was troublesome.
- 7. Respondent testified that due to elevated liver function tests results he switched Patient to oxycodone in April of 2001.
- 8. In response to the Board noting that Patient's intake of oral narcotics had quadrupled during Respondent's care, Respondent stated that Patient complained of chronic pain interfering with his daily activities.
- 9. Patient's records reveal that a pharmacy contacted Respondent's office and voiced concern about the amount of narcotics Patient was receiving.
- 10. Respondent informed the Board that he had taken education courses in the area of pain management. Respondent also informed the Board that his current practice is to place all of his pain management patients on pain management contracts and to keep a list of chronic medication indicating accurate notations of when refills were given and the amounts of the medication.
- 11. Board staff informed the Board that Respondent had been delinquent in responding to Board staff requests for information.

12. Respondent indicated that he was delinquent because he was having difficulty obtaining patient records. However, Board staff informed the Board of a letter Respondent sent to the Board indicating that the records would be forthcoming and not stating that he had any problem obtaining the records.

CONCLUSIONS OF LAW

- 1. The Board of Medical Examiners of the State of Arizona possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The Board has received substantial evidence supporting the Findings of Fact described above and said findings constitute unprofessional conduct or other grounds for the Board to take disciplinary action.
- 3. The conduct and circumstances above in paragraphs 4, through 6 and 11 through 12 constitute unprofessional conduct pursuant to A.R.S. § § 32-1401(25)(j) "[p]rescribing, dispensing or administering any controlled substance or prescription-only drug for other than accepted therapeutic purposes;" 32-1401(25)(q) "[a]ny conduct or practice which is or might be harmful or dangerous to the health of the patient or the public;" and 32-1401(25)(dd) "[f]ailing to furnish information in a timely manner to the board or its investigators or representatives if legally requested by the board."

<u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusions of Law,

IT IS HEREBY ORDERED that:

1. Respondent is issued a Letter of Reprimand for the unprofessional conduct described above, including inappropriately prescribing excessive amounts of controlled substances to a patient and for failure to respond to a Board request for information in a timely fashion.

2. Respondent is placed on Probation for three years with the following terms and conditions:

- (a). Respondent shall within one year of the effective date of this Order, obtain 20 hours of Board staff pre-approved Category I Continuing Medical Education (CME) in chronic pain management and 20 hours of Board staff pre-approved Category I Continuing Medical Education (CME) in prescribing narcotics/scheduled drugs. Respondent shall provide Board staff with satisfactory proof of attendance. The CME hours shall be in addition to the hours required for biennial renewal of Respondent's medical license.
- (b). Board staff or its agents shall conduct a chart review within 6 months of the completion of the CME. Based on the chart review, the Board retains jurisdiction and make take additional disciplinary or remedial action.

RIGHT TO PETITION FOR REVIEW

Respondent is hereby notified that he has the right to petition for a rehearing. Pursuant to A.R.S. § 41-1092.09, as amended, the petition for rehearing must be filed with the Board's Executive Director within thirty (30) days after service of this Order and pursuant to A.A.C. R4-16-102, it must set forth legally sufficient reasons for granting a rehearing. Service of this order is effective five (5) days after date of mailing. If a motion for rehearing is not filed, the Board's Order becomes effective thirty-five (35) days after it is mailed to Respondent.

Respondent is further notified that the filing of a motion for rehearing is required to preserve any rights of appeal to the Superior Court.

1	DATED this day of forwary, 2002.
2	EXAMINE AS MINE AS OF THE PROPERTY OF THE PROP
3	BOARD OF MEDICAL EXAMINERS OF THE STATE OF ARIZONA
4	
5	9 1913
6	CLAU DIA FOUTZ
7	Executive Director
8	ODICINIAL of the foregoing filed this
9	ORIGINAL of the foregoing filed this, 2002 with:
10	The Arizona Board of Medical Examiners
11	9545 East Doubletree Ranch Road Scottsdale, Arizona 85258
12	Executed copy of the foregoing
13	mailed by U.S. Certified Mail this day of January , 2002, to:
14	Michael Carlton
15	10827 South 51st Street
16	Suite 101 Phoenix, Arizona 85044-4309
17	Copy of the foregoing hand-delivered this
18	9 day of January , 2002, to:
19	Christine Cassetta Assistant Attorney General
20	Sandra Waitt, Management Analyst
21	Lynda Mottram, Compliance Officer Lisa Maxie-Mullins, Legal Coordinator (Investigation File)
22	Arizona Board of Medical Examiners 9545 East Doubletree Ranch Road
23	Scottsdale, Arizona 85258
24	Jan Mark